



08/25/2005 10:54 FAX 212 753 6237

DARBY & DARBY PC

IFW
002/010

SC143

AUG 25 2005

PATENT & TRADEMARK OFFICE

STATEMENT OF FACSIMILE TRANSMISSION

I hereby certify that this paper or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being facsimile transferred to the Commissioner for Patents & Trademarks at the United States Patent and Trademark Office, Alexandria, VA 22313-1450, at 703-308-7751 on the date shown below.

8/25/05 Julian Williams
Julian Williams
Signature

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Customer No.: 07278

Docket No.: 09857/0202242-US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Masaki Ishigai et. al.

Serial No.: 10/519,629

Filed: December 23, 2004

For: FERROCENE COMPOUND AND USE THEREOF

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

incorrectly entered and/or
 omitted.

{W:\09857\0202242us0\00508626.DOC }

Error in	Correct data
<input type="checkbox"/> Applicant's name	
<input checked="" type="checkbox"/> Applicant's address	Masaki Ishigai, Gotenba-shi, Japan Naoaki Murao, Gotenba-shi, Japan Nobuo Sekiguchi, Gotenba-shi, Japan Tadakatsu Takahashi, Gotenba-shi, Japan
<input type="checkbox"/> Title	
<input type="checkbox"/> Filing Date	
<input type="checkbox"/> Application Number	
<input type="checkbox"/> Foreign/PCT Application Re:	
<input type="checkbox"/> Other	

Remarks:

Enclosed please find a copy of the Application Data Sheet.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: August 25, 2005



Flynn Barrison
Reg. No. 53,970
Agent for Applicant(s)

DARBY & DARBY P.C.
P.O. Box 5257
New York, New York 10150-5257
212-527-7700



BEST AVAILABLE COPY

DARBY &
DARBY805 Third Avenue
New York, NY 10022
Tel: (212) 527-7700
Fax: (212) 753-6237

E-MAIL:

FILE #: 09857/0202242-US0

DATE: 8/25/05

NUMBER TRANSMITTING TO: 203-746-9195

RECIPIENT: OIPE

COMPANY: United States Patent and Trademark Office

FROM: Flynn Barrison

NO. OF PAGES TRANSMITTING
(INCLUDING COVER SHEET): 10CONFIRMATION OF THIS TRANSMISSION WILL FOLLOW BY
FIRST CLASS MAIL (Y/N): NO

COMMENTS:

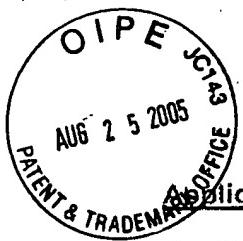
BEST AVAILABLE COPY

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE SO THAT WE CAN ARRANGE FOR THE RETRIEVAL OF THIS DOCUMENT AT NO COST TO YOU. THANK YOU.

PLEASE RETURN TO

Jillian Williams

* IF YOU DO NOT RECEIVE ALL PAGES, PLEASE TELEPHONE US IMMEDIATELY AT (212) 527-7774



~~Application Data Sheet~~

Application Information

Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	N/A
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	FERROCENE COMPOUND AND USE THEREOF
Attorney Docket Number::	09857/0202242-US0
Request for Early Publication?::	No
Request for Non-Publication?::	No
Suggested Drawing Figure::	1
Total Drawing Sheets::	12
Small Entity?::	No
Petition included?::	No
Secrecy Order in Parent Appl.?::	No

Applicant Information

Applicant Authority Type::	Inventor
Primary Citizenship Country::	Japan
Status::	Full Capacity
Given Name::	Masaki
Family Name::	Ishigai
City of Residence::	Gotenba-shi
Country of Residence::	Japan
Street of mailing address::	c/o Chugai Seiyaku Kabushiki Kaisya 135, Komakado 1-chome
City of mailing address::	Gotenba-shi
State or Province of mailing address::	Shizuoka

Country of mailing address:: Japan
Postal or Zip Code of mailing address:: 412-8513

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Japan
Status:: Full Capacity
Given Name:: Naoaki
Family Name:: Murao
City of Residence:: Gotenba-shi
Country of Residence:: Japan
Street of mailing address:: c/o Chugai Seiyaku Kabushiki Kaisya
135, Komakado 1-chome
City of mailing address:: Gotenba-shi
State or Province of mailing address:: Shizuoka
Country of mailing address:: Japan
Postal or Zip Code of mailing address:: 412-8513

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Japan
Status:: Full Capacity
Given Name:: Nobuo
Family Name:: Sekiguchi
City of Residence:: Gotenba-shi
Country of Residence:: Japan
Street of mailing address:: c/o Chugai Seiyaku Kabushiki Kaisya
135, Komakado 1-chome
City of mailing address:: Gotenba-shi
State or Province of mailing address:: Shizuoka
Country of mailing address:: Japan
Postal or Zip Code of mailing address:: 412-8513

Applicant Authority Type:: Inventor

Primary Citizenship Country::	Japan
Status::	Full Capacity
Given Name::	Tadakatsu
Family Name::	Takahashi
City of Residence::	Gotenba-shi
Country of Residence::	Japan
Street of mailing address::	c/o Chugai Seiyaku Kabushiki Kaisya 135, Komakado 1-chome
City of mailing address::	Gotenba-shi
State or Province of mailing address::	Shizuoka
Country of mailing address::	Japan
Postal or Zip Code of mailing address::	412-8513

Correspondence Information

Correspondence Customer Number:: 07278

Representative Information

Representative Customer Number: 07278

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/JP2003/008166	06/27/03

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::
Japan	2002-188541	06/27/02	Yes

Assignee Information

Assignee name:: Chugai Seiyaku Kabushiki Kaisya
Street of mailing address:: 5-1, Ukima 5-chome, Kita-ku
City of mailing address:: Tokyo

Country of mailing address:: Japan
Postal or Zip Code of mailing address:: 115-8543



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Attorney COMMUNICATOR FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/519,629	12/23/2004	1621	1300	09857/0202242-US0	12	28	3

CONFIRMATION NO. 7852

07278
 DARBY & DARBY P.C.
 P. O. BOX 5257
 NEW YORK, NY 10150-5257

FILING RECEIPT



OC000000016766763

Date Mailed: 08/16/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masaki Ishigai, Shizuoka, JAPAN;
 Naoki Murao, Shizuoka, JAPAN;
 Nobuo Sekiguchi, Shizuoka, JAPAN;
 Tadakatsu Takahashi, Shizuoka, JAPAN;

Assignment For Published Patent Application

Chugai Seiyaku Kabushiki Kaisya, Tokyo, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 7278.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/08166 06/27/2003

Foreign Applications

JAPAN 2002-188541 06/27/2002

Computer _____ Date _____
 D&D Entry _____
 Looseleaf _____
 Folder _____
 Card _____
 Letter _____
 Foreign Filg. _____

Projected Publication Date: 11/17/2005

Non-Publication Request: No

Early Publication Request: No

Title

Ferrocene compound and use thereof

Preliminary Class

556

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof

unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.63(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).